JC07 PCT/PTO 0 9 FEB 2002

L'S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390 FP01074US Agent's (REV 12-2061) Docket No. U.S. APPLICATION NO. (If TRANSMITTAL LETTER TO THE UNITED STATES known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED **PCT** Appl. No.: PCT/CN00/00068 March 29, 2000 August 30, 1999 TITLE OF INVENTION PARALLEL PLATE DIODE APPLICANT(S) FOR DO/EO/US YELIN XU ET AL. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. [] The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is attached hereto (required only if not communicated by the International Bureau). b. [X] has been communicated by the International Bureau. c. [] is not required, as the application was filed in the United States Receiving Office (RO/US). 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [X] is attached hereto. b. [] has been previously submitted under 35 U.S.C. 154(d)(4). 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are attached hereto (required only if not communicated by the International Bureau). b. [] have been communicated by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English lanuagge translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: [11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. [12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [] A FIRST preliminary amendment. 14. [] A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. [] A change of power of attorney and/or address letter. 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Certificate of Mailing by "Express Mail" I hereby certify that I am mailing this correspondence on the date indicated below to the ASSISTANT COMMISSIONER FOR

under 37 CFR 1.10.	•	
Bom 2d	DATE OF MAILING:	219/2002
SIGNATURE		
	EXPRESS MAIL LABEL NO:	ET 531435301 US 🔩
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NAME OF PERSON SIGNING		

PATENTS, WASHINGTON, DC 20231 using the "Express Mail Post Office to Addressee" service of the United States Postal Service

U.S. APPLICATIO	ON NO. (If known, see		INTER	NATIONAL APPL	1	Agent's Docket No.		
	100MD494	86	PCT Appl. N		No.:	FP01074US		
PC1/CN00/00								
21. [] The following fees are submitted:					CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00								
and international Search Report not prepared by the EPO of JPO \$1040.00								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00								
International preliminary examination fee (37 CFR 1.482) paid to USPTO								
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00								
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00								
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$1040.0	0		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30								
Months from the ear CLAIMS	liest claimed priority dat NUMBER FILED	e (37 CFR 1.49 NUMBER EX		RATE		\$		
Total claims	18 -20 =	0		X \$18.00	\$0.00	<u> </u>		
Independent Claims	1 -3 =	0		X \$84.00	\$0.00 \$0.00			
<u> </u>	DENT CLAIM(S) (if applied	1 "	···	+ \$280.00	\$280.00			
TOTAL OF ABOVE CALCULATIONS				\$1320.00				
[] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are						-		
reduced by 1/2.			IDTO	+	\$660.00 \$660.00			
SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30					3			
Months from the earliest claimed priority date (37 CFR 1.492(f)).								
TOTAL NATIONAL FEE =					\$660.00)		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
TOTAL FEES ENCLOSED =					\$660.00			
					Amount to be	\$		
					refunded:	6		
a. [X] A check in the amount of \$ \$660.00 to cover the above fees is enclosed.								
b. [] Please charge my Deposit Account Noin the amount of \$to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. [] The Commissioner is hereby authorized to charge any additional; fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.								
d. [] Fees are to be charged to a credit card. WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive) 37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO: Customer No.: 27652 GRATURE SIGNATURE								
[X] Bar Code labe		ar c	ode		Joshua D. Is	enberg		
27652.					NAME			
PATENT_TRADEMARK OFFICE REC					41,088 GISTRATION NU	 JMBER		